

# Raman Spectra of MgB<sub>2</sub> at High Pressure and Topological Electronic Transition<sup>1</sup>

K. P. Meletov<sup>1\*</sup>, M. P. Kulakov<sup>1</sup>, N. N. Kolesnikov<sup>1</sup>, J. Arvanitidis<sup>2</sup>, and G. A. Kourouklis<sup>2</sup>

<sup>1</sup> Institute of Solid-State Physics, Russian Academy of Sciences, Chernogolovka, Moscow region, 142432 Russia

\* e-mail: mele@issp.ac.ru

<sup>2</sup> Physics Division, School of Technology, Aristotle University of Thessaloniki, GR-540 06 Thessaloniki, Greece

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Raman spectra of MgB<sub>2</sub> ceramic samples were measured as a function of pressure up to 32 GPa at room temperature. The spectrum at normal conditions contains a very broad peak at ~590 cm<sup>-1</sup> related to the E<sub>2g</sub> phonon mode. The frequency of this mode exhibits a strong linear dependence in the pressure region from 5 to 18 GPa, whereas, beyond this region, the slope of the pressure-induced frequency shift is reduced by about a factor of two. The pressure dependence of the phonon mode up to ~5 GPa exhibits a change in the slope, as well as a “hysteresis” effect in the frequency vs. pressure behavior. These singularities in the E<sub>2g</sub> mode behavior under pressure support the suggestion that MgB<sub>2</sub> may undergo a pressure-induced topological electronic transition. © 2002 MAIK “Nauka/Interperiodica”.

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The discovery of superconductivity in MgB<sub>2</sub> [1] has initiated a number of studies that are related to the pressure behavior of the crystalline structure, phonon spectrum, and superconductivity transition temperature of this material [2–10]. High-pressure experiments, which traditionally are used to test the structural stability of materials, can also play an important role in the understanding of the superconductivity mechanism. The experimentally observed pressure-induced linear decrease in T<sub>c</sub> [6–10] is in general agreement with theoretical estimations based on the BCS theory. Theoretical calculations show that MgB<sub>2</sub> can be treated as a phonon-mediated superconductor with very strong electron–phonon coupling of the in-plane optical E<sub>2g</sub> phonon mode to the partially occupied planar boron σ bands near the Fermi surface [11, 12]. The strong coupling contributes considerably to the anharmonicity of the Raman-active E<sub>2g</sub> mode which is manifested by its very broad lineshape, ranging from 460 cm<sup>-1</sup> to 660 cm<sup>-1</sup>, according to various calculations [11, 13–15]. The other three phonon modes of MgB<sub>2</sub> with symmetries B<sub>1g</sub>, A<sub>2u</sub>, and E<sub>1u</sub> are harmonic and show insignificant electron–phonon coupling [11].

The first report on Raman scattering in MgB<sub>2</sub> revealed a broad asymmetric peak at ~580 cm<sup>-1</sup> [16], while subsequent investigations attributed the band at the 615–620 cm<sup>-1</sup> frequency range to the E<sub>2g</sub> phonon mode [5, 17]. Recently, Kunc *et al.* [18] have reported Raman spectra of MgB<sub>2</sub> consisting of two broad peaks, which differ considerably from the previously reported

Raman results [5, 16, 17], and neither of these was attributed to the E<sub>2g</sub> phonon mode. The original high-pressure Raman experiment up to 15 GPa has shown a large linear pressure shift of the E<sub>2g</sub> phonon frequency [5]. Further extension of the pressure range up to 44 GPa has revealed a change in the slope of the linear pressure dependence at ~23 GPa for the isotopically pure Mg<sub>10</sub>B<sub>2</sub> samples [6]. Similar singularities are observed in the dependence of T<sub>c</sub> on the relative variation of volume, V/V<sub>0</sub> [6], which exhibits a change in the slope of the linear dependence near the values of V/V<sub>0</sub> corresponding to pressures of ~20 GPa and ~15 GPa for isotopically pure Mg<sup>10</sup>B<sub>2</sub> and Mg<sup>11</sup>B<sub>2</sub> samples, respectively. This behavior of the pressure dependence of T<sub>c</sub> was also observed at ~9 GPa for MgB<sub>2</sub> samples prepared from a natural boron-isotope mixture [10]. The observed singularities in the pressure dependence of T<sub>c</sub> and E<sub>2g</sub> phonon frequency [6, 10] were related to the Lifshitz isostructural topological electronic transition [19], since the data available at that time on the pressure dependence of the lattice parameters of MgB<sub>2</sub> did not show any structural phase transition at pressure up to 40 GPa [3, 4]. New high-pressure X-ray results showed that MgB<sub>2</sub> undergoes an isostructural phase transition in the pressure range 26–30 GPa [20].

We measured the Raman spectra of MgB<sub>2</sub> as a function of pressure up to 32 GPa at room temperature. The main goal of our experiments was to study carefully the pressure dependence of the E<sub>2g</sub> phonon mode and to reexamine possible phase transitions in the MgB<sub>2</sub> system. We believe that the results obtained in the present

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study show new aspects and, in some way, complete the study of the pressure behavior of the  $E_{2g}$  phonon mode.

Ceramic samples of MgB<sub>2</sub> were prepared by direct synthesis from constituent elements. The initial materials were amorphous boron powder (natural mixture of isotopes, atomic mass 10.811) and pieces of metallic magnesium, both with a purity greater than 99.9%. The stoichiometric weights of the materials were placed in a molybdenum crucible and heated to 1400°C in a medium-pressure furnace under an Ar-gas pressure of ~12 bar followed by annealing for an hour. During the heating, the synthesis of MgB<sub>2</sub> is assumed to occur at ~900°C. The resulting product was a bronze-colored compact material with a density ~2.23 g/cm<sup>3</sup> and a grain size from 6 to 30 microns. The X-ray powder diffraction pattern of synthesized samples showed the hexagonal MgB<sub>2</sub> ( $a = 3.086 \text{ \AA}$  and  $b = 3.52 \text{ \AA}$ ) to be the main constituent, with small quantities of MgO and metallic Mg. The transition temperature  $T_c$  for the samples used in this study varied between 37.5 and 39 K at normal pressure [10, 21].

Raman spectra were recorded using a triple monochromator (DILOR XY-500) equipped with a CCD liquid-nitrogen cooled detector system. The spectral width of the system was ~8 cm<sup>-1</sup>, and the 514.5 nm line of an Ar<sup>+</sup> laser with the beam power below 10 mW, measured before the cell, was used for excitation. Small good faceted bronze-colored grains of MgB<sub>2</sub> with a typical size of ~20 μm were selected for Raman measurements. Measurements of the Raman spectra at high pressure were carried out in two independent pressure cycles using a diamond anvil cell (DAC) of the Mao-Bell type [22]. A 4 : 1 methanol–ethanol mixture was used as the pressure-transmitting medium, and the ruby fluorescence technique was used for pressure calibration [23]. The  $E_{2g}$  phonon frequency was obtained with an accuracy of about ~10 cm<sup>-1</sup> by fitting a Gaussian function to the experimental peak after background subtraction. This background was taken as growing linearly, and the reference points used for the subtraction were the minimum (maximum) intensity of the spectrum at its low (high) frequency limits, respectively.

The Raman spectra of the ceramic samples of MgB<sub>2</sub>, taken at normal conditions consist of a broad peak centered near ~590 cm<sup>-1</sup>. This frequency value is lower than the earlier reported frequency of the  $E_{2g}$  mode [5, 17]. Probing the ceramic MgB<sub>2</sub> samples with the use of high spatial resolution of the micro-Raman system provided us with the possibility of identifying small crystalline grains of MgB<sub>2</sub>, whose Raman spectra represents a typical  $E_{2g}$ -mode peak which differs drastically from that of possible inclusions.

The Raman spectra of MgB<sub>2</sub> for various pressures up to ~29 GPa at room temperature are shown in Fig. 1. The initial spectrum at 1.1 GPa (Fig. 1a) contains a broad (FWHM ~ 250 cm<sup>-1</sup>) peak near ~600 cm<sup>-1</sup>, which

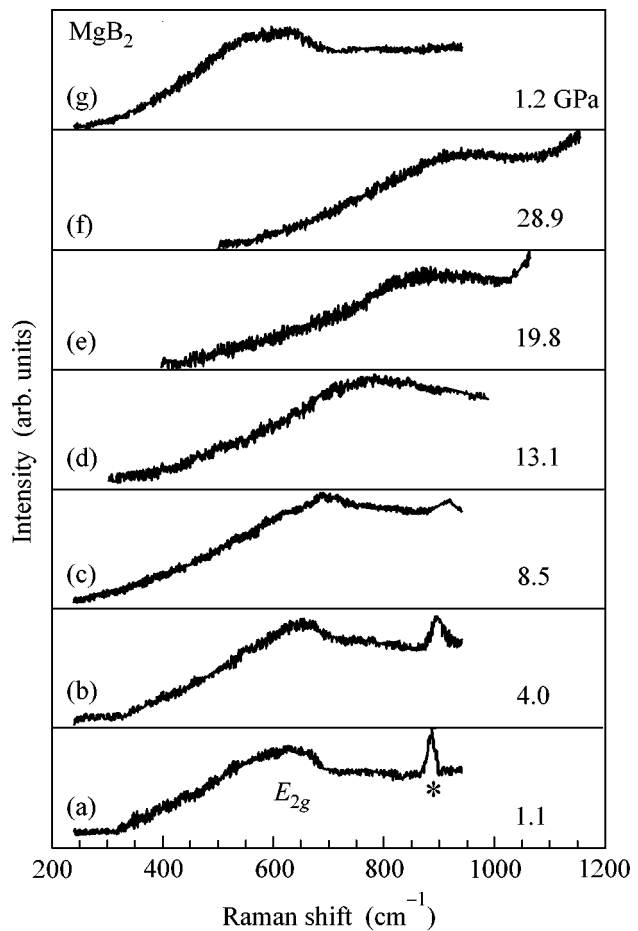
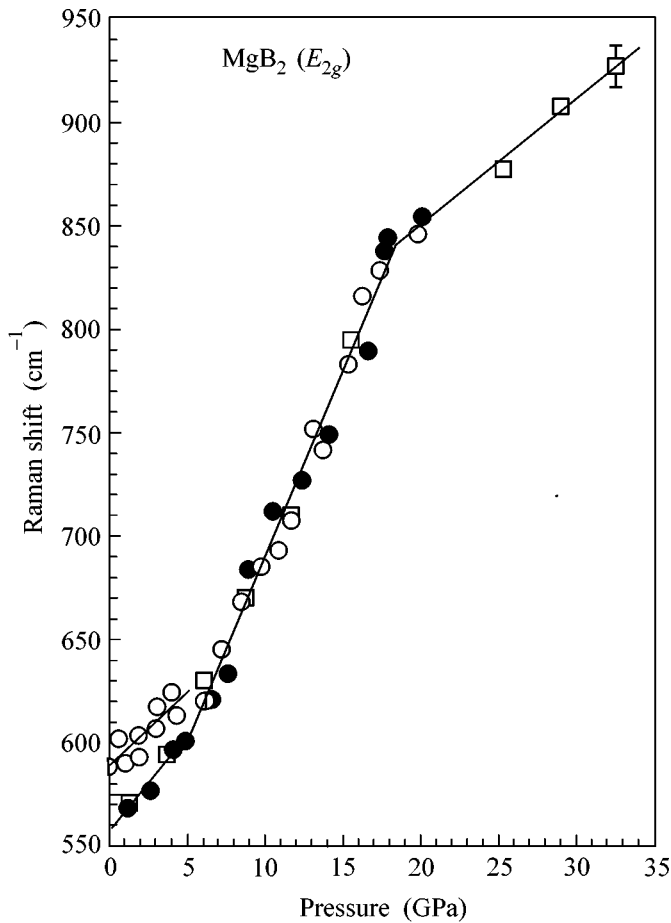


Fig. 1. Raman spectra of MgB<sub>2</sub> for various pressures up to ~29 GPa at room temperature. Asterisk indicates a methanol–ethanol mixture peak.

is assigned to the Raman-active  $E_{2g}$  mode. The relatively sharp peak near ~880 cm<sup>-1</sup> is associated with a methanol–ethanol mixture peak. The intensity of this peak gradually drops with an increase in pressure and vanishes at ~12 GPa upon mixture solidification. When pressure increases, the  $E_{2g}$  peak shifts to higher energy (Figs. 1b–1f) and somehow broadens, while its Raman intensity does not change noticeably. The release of pressure down to 1.2 GPa (Fig. 1g) restores the main features of the initial Raman spectrum.

The pressure dependence of the  $E_{2g}$ -mode frequency, worked out for various pressure runs, is shown in Fig. 2. The open circles show the data for increasing pressure to ~20 GPa, while the closed circles are related to the decrease of pressure to ~1.2 GPa.

The data marked by open squares are recorded at the subsequent upstroke pressure cycle from ~1.2 GPa to ~32 GPa performed immediately after the release of pressure without disassembling the DAC. The shaded areas near ~5 GPa and ~18 GPa separate the regions where the pressure behavior of the  $E_{2g}$ -phonon frequency can be fitted to a linear dependence with



**Fig. 2.** Pressure dependence of the frequency of the  $E_{2g}$  phonon in  $\text{MgB}_2$ . The open (closed) symbols are related to an increase (decrease) in pressure. The shaded areas show the pressure regions where the changes in the slopes of linear pressure shift were observed.

different slopes  $\partial\omega/\partial P$ . The largest slope  $\partial\omega/\partial P = 18 \text{ cm}^{-1}/\text{GPa}$ , is found for the region  $5 \leq P \leq 18 \text{ GPa}$ , while for  $P > 18 \text{ GPa}$  the slope  $\partial\omega/\partial P$  is  $6 \text{ cm}^{-1}/\text{GPa}$ . The most intriguing behavior is observed in the pressure region 1 bar–5 GPa, where the route (open cycles in Fig. 2) of the two upstroke pressure cycles (new cell loading) differs from the route of the downstroke (solid cycles in Fig. 2) and upstroke (open squares) cycles without the total release of pressure in the DAC. The slopes  $\partial\omega/\partial P$  of both routes are slightly different,  $\sim 7 \text{ cm}^{-1}/\text{GPa}$  for the new loading and  $\sim 9 \text{ cm}^{-1}/\text{GPa}$  for the recycling routes. Note that the spread of experimental data at the  $E_{2g}$ -mode frequency is consistent with the accuracy in the peak position determination, which was found to be close to  $\sim 10 \text{ cm}^{-1}$ .

The pressure dependence of the  $E_{2g}$ -mode frequency demonstrates two singularities near  $\sim 5 \text{ GPa}$  and  $\sim 18 \text{ GPa}$ . These results are partly correlated with the Raman data obtained by Struzhkin *et al.*, who reported a singularity in the slope of the phonon pressure depen-

dence near  $\sim 23 \text{ GPa}$  for the isotopic pure  $\text{Mg}^{10}\text{B}_2$  sample and near  $\sim 15 \text{ GPa}$  in the pressure dependence of  $T_c$  for the isotopically pure  $\text{Mg}^{11}\text{B}_2$  sample [6]. Taking into account that the samples in the present investigation were prepared from a natural mixture of boron isotopes, we believe that the singularity near  $\sim 18 \text{ GPa}$  has the same origin as those observed in [6] for isotopically pure samples. As for the singularity at  $\sim 5 \text{ GPa}$ , it seems to be a new result revealed by recording the spectra with small steps of pressure increase in this interval.

The experimental data for the pressure dependence of the  $E_{2g}$ -phonon mode are seemingly in contradiction with the X-ray data on  $\text{MgB}_2$ . Although the Raman data show distinct singularities in their pressure dependence, the pressure dependences of the  $a$  and  $c$  parameters of the hexagonal lattice are smooth and do not show any structural phase transition in the pressure region up to  $12 \text{ GPa}$  [2, 3, 5–6]. Furthermore, the X-ray results of Bordet *et al.* [4], extended to higher pressure, indicated the absence of any structural phase transitions up to  $\sim 40 \text{ GPa}$ . However, Sun Li-Ling *et al.* [20] observed an isostructural phase transition in the pressure region  $26\text{--}30 \text{ GPa}$  accompanied by a substantial change in the unit-cell volume, while their Raman results also showed some anomalies in the  $E_{2g}$ -mode pressure behavior, the most significant of them being the appearance of a band splitting at  $\sim 30 \text{ GPa}$ . A possible explanation for these discrepancies in the pressure behavior of  $\text{MgB}_2$  may be related to the Lifshitz topological electronic transition [19] associated with the pressure-induced changes in the topology of a Fermi surface. In such a transition, the electron density of states at the Fermi level, as well as the electron dynamics, possess some specific features which lead to anomalies of the electron thermodynamic and kinetic characteristics. The band structure calculations for  $\text{MgB}_2$  [11, 12] show splitting of the planar boron  $\sigma$  bands along the  $\Gamma$ –A line near the Fermi surface, which creates the conditions for the Lifshitz-type transition under high pressure. Tissen *et al.* [10] have suggested that  $\text{MgB}_2$  undergoes the Lifshitz topological electronic transition, and this explains the cusp in the pressure dependence of  $T_c$  near  $9 \text{ GPa}$ . The same suggestion has been used to explain the changes in the slopes of the linear pressure dependences of the  $E_{2g}$ -phonon frequency and superconducting transition temperature  $T_c$  for isotopically pure  $\text{Mg}^{11}\text{B}_2$  and  $\text{Mg}^{10}\text{B}_2$  samples [6]. We believe that the manifestation of the electronic topological transition in the pressure dependence of the  $E_{2g}$ -phonon mode may be related to the strong electron–phonon coupling of this mode to the planar boron  $\sigma$  bands.

Concerning the singularity in the  $E_{2g}$ -phonon pressure dependence near  $\sim 5 \text{ GPa}$ , we believe that this may be related to some transformation of the initial ceramic material associated with a trend towards phase homogenization under high pressure. It seems that the recovered material is more homogeneous, because its pres-

sure response and the  $E_{2g}$ -phonon frequency is lower than that of the starting material; therefore, the investigation of  $T_c$  for a high-pressure-treated ceramic sample might be interesting. In any case, we think that, in order to clarify this suggestion, further experiments with high-quality crystalline samples are necessary.

Finally, we would like to address the difference in the  $E_{2g}$ -phonon frequency reported in various Raman studies at normal conditions [5, 6, 16–18]. We think that its origin may be related to the difference in the stoichiometry of ceramic samples. For example, recent publication [21] indicates that the ceramic samples, in fact, have various stoichiometries, Mg<sub>1-x</sub>B<sub>2</sub> with  $0 \leq x \leq 0.2$ , and the superconducting transition temperature  $T_c$  varies accordingly from 37 to 39 K.

In conclusion, the pressure dependence of the  $E_{2g}$  phonon-mode frequency measured as a function of pressure up to 32 GPa shows two singularities near ~5 and ~18 GPa. The singularity at ~5 GPa may be related to the pressure-induced homogenization of ceramic samples, while the singularity at ~18 GPa may be related to a Lifshitz topological electronic transition [19].

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